





# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER	
09/504,239	02/15/2000	Bruce L. Davis	60114	

William Y Conwell
Digimarc Corporation
19801 SW 72nd Avenue
Suite 250
Tualatin, OR 97062

Date Mailed: 05/15/2000

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$820.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

Attorney's Matter No. 60114

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Davis et al.

Application No.: 09/504,239

-Filed: February 15, 2000

For:

DATA TRANSMISSION BY WATERMARK

**PROXY** 

Examiner:

Date: August 15, 2000

Art Unit: 2721

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 15, 2000, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER

FOR PATENTS, WASHINGTON, O.C. 20231.

William Y. Conwell
Attorney for Applicant

# TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

Copy of Notice to File Missing Parts of Application--Filing Date Granted dated May 15, 2000.

Combined Declaration and Power of Attorney

Filing Fee of **\$726.00**.

Surcharge for Late Filing of Fee or Oath or Declaration: \$130.00

Applicant petitions for an extension of time from July 15, 2000, to August 15, 2000, (fee of \$110.00).

Request for Corrected Filing Receipt

Please charge \$966.00 and any additional fees which may be required in connection with filing these Missing Parts and any further extension of time fee, or credit any overpayment, to Deposit Account No. 50-1071. A copy of this sheet is enclosed.

Respectfully submitted,

Date: August 15, 2000 DIGIMAR

Digimarc Corporation 19801 SW 72nd Avenue, Suite 250

Tualatin, OR 97062

Telephone: 503-885-9699

William Y. Conwell Registration No. 31,943

CORPORATIO





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Davis et al.

Application No.: 09/504,239

Filed: February 15, 2000

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CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 15, 2000, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 2023).

William Y. Conwell Attorney for Applicant

# REQUEST FOR CORRECTED FILING RECEIPT

**BOX MISSING PARTS** ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Please provide a corrected filing receipt in the following respects:

Under Drawings change "9" to --2--.

Under Total Claims change "20" to --23--.

Under Ind Claims change "2" to --3--.

For applicant Bruce L. Davis, change "lake oswego" to -- Lake Oswego--.

Under "Continuing Data..." add the following:

"This appln is a CIP of 09/491,534 1/26/00

which is a CIP of 09/473,396 12/28/99

And a CIP of 09/476,686 12/30/99."

Change the title to "Data Transmission by Watermark Proxy."

Enclosed are the following: copies of the incorrect filing receipt with the changes noted thereon, Combined Declaration and Power of Attorney, first page, drawings and the claims of the patent application, transmittal letter, all supporting the requested changes.

Respectfully submitted,

DIGIMARC CORPORATION

Date: August 15, 2000

19801 SW 72nd Avenue, Suite 250

Tualatin, OR 97062

Telephone: (503) 885-9699

By Conwell

Registration No. 31,943







#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/504,239	02/15/2000	2721	0	60114	13	20	D.A.

William Y Conwell **Digimarc Corporation** 19801 SW 72nd Avenue Suite 250 Tualatin, OR 97062

Date Mailed: 05/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bruce L. Davis, lake aswego, OR; William Y. Conwell, Portland, OR;

**Continuing Data as Claimed by Applicant** 

THIS APPLN CLAIMS BENEFIT OF 60/134,782 05/19/1999

This APPLN is a CIP of 09/491, 534
which is a CIP of 09/473, 376
And a CIP of 09/476,686 12/28/99

Foreign Applications

If Required, Foreign Filing License Granted 05/12/2000

By Watermark Proxy

Watermark-based-object-linking-and-embedding

**Preliminary Class** 

Title

382

Data entry by : HARDY, EUGENIA

Team: OIPE

Date: 05/15/2000

12/30199







# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

# **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231